

SHAKE TABLE TESTS AND NUMERICAL MODELING OF SEISMICALLY ISOLATED RAILWAY BRIDGES

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SUMMARY

In the implementation of seismic isolation system to railway bridges, the basic requirements are as follows. The first is to secure the running safety of trains under occasional earthquake (Level 1) ground motion. For this purpose, the isolation bearings should work almost like fixed hinges not to allow large displacement. The second is to protect bridge piers from severe damage under rare earthquake (Level 2) ground motion. For this purpose, the isolation bearings should play their roles effectively to add flexibility and damping capability to railway bridge systems. It is also expected to come back to the original position after severe earthquake motion is over, to maintain the function of the train operation. To satisfy above mentioned requirements, accurate estimation of dynamic behavior of the seismically isolated bridge structures is necessary. Especially, complicated constraining force from the railway track to the bridges girder has to be accurately investigated and modeled. For this purpose, shake table tests of partial models of railway bridge structures are conducted subjected to different types and levels of earthquake ground motion. The direct measurement of the constraining force to the girder is almost impossible, so, in this study, it is detected from the equilibrium of dynamic motion of the system using displacement and acceleration data of the tests. The nonlinear and non-symmetric constraining force is found first time in this field. The numerical models are proposed based on the experimental results. The numerical simulation of the isolated bridge structures with the proposed models agrees with experimental results fairly well, suggesting that the proposed models can be used for structural design process for new construction and seismic retrofit of existing railway bridges.

INTRODUCTION

Hyogo-ken Nanbu Earthquake, which hit the western part of Japan on January 17 in 1995, gave serious damage to the traffic facilities. After the earthquake, the seismic design code was examined at a technical committee steered by Japanese Ministry of Transport. The research works have been performed aiming at

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an ideal seismic design. A new seismic design code of railway structures adopted the latest technology through the discussion extended over two years, and was made public as "Seismic Design Code for Railway Structures" in December 1998. This became systematization of the idea concerning a new seismic design of railway structures. In the new seismic design code, the ductility based design level of structural members is evaluated considering properties of the surface subsoil.

On the other hand, the devices with the effect of the seismic isolation such as rubber bearings and the dampers, have been adopted in railway bridges. The seismic isolation design, which had not been clearly described in the previous seismic design code, was prescribed for the first time. So, it will be necessary to investigate the seismic isolation of railway structures more in future. At the same time, it is important to secure the train running stability as a performance of railway structures under the state of daily services as well as of level 1 ground motion. Moreover, there remains an unknown issues on the dynamic behavior of track structures. These are special problems of railway structures in the application of seismic isolation systems.

As a research to apply the seismic isolation method to the railway structures for high seismic performance, it is necessary to investigate the dynamic interaction between the track and the railway bridge structure. And, the performance of the isolated railway bridge due to earthquake motion has to be accurately estimated for reasonable design. The experiment, using the large-scale strong earthquake simulator at Kyoto University, was performed for the investigation of the problems of these interactions.

APPLICATION OF ISOLATION SYSTEM TO RAILWAY BRIDGES

The rubber bearing which is made of rubber bonded to the iron plate was invented by E. Freyssinet of France in 1956. The rubber bearing was used in a large-scale railway bridge (Kinugawa bridge: approximately 450m in total length) in Japan in 1961. Afterwards, the rubber bearing and the damper have been used for the railway bridge structures. However, "Seismic Isolation Design" (idea of reducing the shake of ground motions) has not been clearly defined in standard regulations. The description of seismic isolation was included in the new seismic design code for the first time.

In the seismic isolation design of railway bridges, there are two issues important to the railway. One is the train running stability. Because the train running stability should be secured under a usual state and the level 1 ground motion, the displacement is severely restricted to the railway structure. The seismic isolation design is defined as the design method by which the vibration of the ground can be softly absorbed by ①isolation function (elongation of the structural natural periods): function that bridge girder is softly supported in the horizontal direction with rubber bearings, ②damping function (performance of response reduction): function to absorb the energy of the earthquake input, and the structural vibration due to the ground motion. It means that the displacement of railway structure must be limited for the required, while the seismic isolation design has the characteristics which allows displacement. Therefore, the seismic isolation design of railway structures must satisfy the conflicting performance requirements.

Another problem originates from the track including the rail. On the railway structure, there is a track such as rails. When the external force acts on the track, the track structure generates the constraining force so that the shape is maintained. In the seismic isolation design in which the bridge girder is elastically supported, the constraining force of the track structure due to the seismic load influences the dynamic behavior of the structure. This did not pose a serious problem to the bridge structure with the conventional fixed bearings.

These interaction problems do not exist in the seismic isolation design of highway bridges and buildings. It is necessary to evaluate the influence of the constraining force to the track to establish an effective isolation system for railway bridges. For the service condition and the level 1 ground motion, displacement control mechanism is necessary to maintain the train running stability. Additionally, the system must function as the seismic isolation for in the level 2 ground motion.

SEISMIC ISOLATION DESIGN OF RAILWAY STRUCTURES

As for the application of present isolation system for bridge, the seismic isolation design and the elastic support design are distinguished. Basically, current design method is the elastic support design with seismic isolation devices.

- ① The elastic support design by rubber bearings and the damper type stoppers
- ② The elastic support design by shear deformation of rubber bearings
- ③ The elastic support design with seismic isolation bearings such as lead rubber bearing (LRB) and high dumping rubber bearing (HDR)
 - The inertia force due to earthquakes is not decreased with the effect of the isolation and the damper.
 - The performance of bearing is not considered in the design of the substructures.
- ④ The seismic isolation design with seismic isolation devices
 - With the special examination of the confirmation of reliability on the damping constant and the material property, the adoption of the seismic isolation design is not limited.

DISPLACEMENT RESTRICTION OF RAILWAY STRUCTURES AND SEISMIC ISOLATION SYSTEMS

The following two problems must be solved for the seismic isolation of railway structures. One is how to maintain the train running stability in case of the daily service condition and the level 1 ground motion. The other one is evaluation of influence of the track and the rail structures to the structural dynamic response. Also, the optimal constraining force during the dynamic movement of the railway structure, exists compromising the trade-off between the friction damping effect and the increased stiffness of the system. The criteria of displacement limits of the railway structure should be specified in term of the relative displacement angle and the deflection to secure the train running stability.

The transverse displacement of the bridge axis has to be controlled with a movement limitation device against service lateral load and the level 1 ground motion. Therefore, it is necessary to fix surely so as not to cause relative displacement between the superstructure and the substructure, and the damage of the movement limitation device is not allowed due to the level 1 ground motion in the design. The seismic isolation mechanism shall not function under the level 1 ground motion.

If the rail buckling is prevented, the longitudinal displacement does not need to be fixed. Therefore, the displacement due to service loads and the level 1 ground motion has to be absorbed by the joint gap. And, it is assumed that the response displacement to the track rail without inducing the rail buckling under the axial load which corresponds to the displacement in the design. Actually, a certain amount of such an axial force is somewhat absorbed between the track structure and rail fastening. The check of the rail buckling is based on the idea of fail-safe.

INFLUENCE OF CONSTRAINING FORCE OF TRACK STRUCTURE IN SEISMIC ISOLATION

In the railway, there exists the track structure that connects the upper surface in the longitudinal direction to the rails. The track structure is composed of the rail, rail fastening, the crosstie, and the ballast. The constraining force in the track at least influences the natural period of the structure such that the bridge girder is elastically supported directly with the seismic isolation bearings. This interaction does not exist in the seismic isolation design of highway bridges. Although the influence of the track structure on the dynamics of the structural system may not be significant, it can not negligible in the isolation system depending on the configuration of the track structure. It is necessary to evaluate the dynamic interaction of the track structure integrated to the girder system.



Figure-1: Influence of Track in seismic isolation structures

In this case, the response of the structure is evaluated by the value of the constraining force by the track. There is no problem for gradual movements like the temperature change. However, the rail and track act as a sticking mechanism against a dynamic movement like strut. The constraining force is resisted as a statically friction first, then the kinetic friction during the slip. Using a suitable frictional force, the effect of seismic isolation is enhanced due to the added damping. However, an excessive frictional force decreases the effect of seismic isolation making the natural periods of the structure shorter (**Figure-1**).

STRONG EARTHQUAKE SIMULATOR TEST OF DYNAMIC INTERACTION OF THE TRACK-STRUCTURE SYSTEM

(1) Test purpose

The dynamic behavior of the track structure exerts the influence on the frequency and the response displacement of the structure at the earthquake by the constraining force, and controls the evaluation of an accurate earthquake performance (**Figure-1**). Then, the experiment to verify the mechanism of the interaction caused between the track and the seismic isolation structure was carried out. However, the mechanism relates to friction and the speed dependency. And, the interaction caunot be verified by a static load test. Therefore, the test with a strong earthquake response simulator, which can generate ground motion was carried out.

(2) Strong earthquake response simulator

This test was carried out with a large-scale strong earthquake response simulator machine at Kyoto University (Figure-2).

<Specification of shaking table> Table size; $5.0m \times 3.0m$ Allowable weight; 15ton Shaking direction; 2-horizontal (X, Y), 1-vertical(Z), 3-rotation(θ x, θ y, θ z)



Figure-2: Strong earthquake response simulator

Figure-4: Test method



Figure-3: Test model

Max. Stroke (mm); X:±300, Y:±250, Z:±200 Max. Velocity; X, Y, Z:±150cm/sec Max. Acc.; X, Y, Z: ±1.0G



Photo.1: Strong earthquake response simulator, Kyoto Univ. and test model



-Rail free end -



-Rail fixed end -Photo.2: Rail of test model



-Rail spring fixed end -



Photo.3: Three kinds of springs Photo.4: Seismic isolation devices Photo.5: Displacement of the device

Frequency region; DC \sim 50Hz

(3) Test model

The experimental model consists of the edge part of the bridge girder in the seismic isolation railway bridge, and with the adjacent abutment part. The constraining force of the track influences the relative displacement between the bridge girder and the abutment part (Figure-3).

a) Abutment part

The abutment part is a steel-made and its size is1.0m in length \times 5.0m in width \times 0.55m in height, and to achieve the same motion as the shaking table, it has high rigidity.

b) Seismic isolation bridge girder



Figure-5: Acceleration response spectra

The bridge girder is RC slab board (nominal concrete strength: 50N/mm2) of 1.5m in length \times 5.0m in width \times 0.20m in height. It is a structure supported by one bearing and two linear guides. The natural vibration period of the model is adjusted to



Figure-6: Ground motion acceleration waves

be 2.0 seconds which is the target natural period of usual base isolation bridges without constraining force of the track. Moreover, the joint gap with the abutment part is 50.0cm. On the joint gap, there is a steelmade slide board (t=9mm), and follows to the response displacement. The bearings are LRB and RB (LRB; Lead plug ϕ 40,Shear modulus: G=4kgf/cm²,

180mm \times 180mm, h=290.7mm, Rubber thickness 6mm \times 30 layers, Internal steel board 2.3mm \times 29 piece, RB; the same size). Each has the same horizontal rigidity (7.6tf/m). Effects of the damping capability of LRB are verified by comparing the results of LRB and RB.

c) Track structure

The ballast tracks are adopted, and experimented by a real-scale model and 1/2 reduction model. A realscale model uses the same one as the ballast track of Tokai-do Shinkansen (60kg rail track). A half model is 1/2 reductions of a real-scale (15kg rail track). The thickness of the ballast is 25cm in a real-scale model. The thickness and size distribution of the ballast of 1/2 reduction model are halves of a real-scale model. The total weight of the test model is assumed to be 15.0ton or less due to the capacity of the strong earthquake response simulator.

(4) Test method

The experiment is conducted by setting structural elements of the model one by one as shown in **Figure-4**. By comparing each test case, constraining force of sliding board and ballast track is detected.

(5) Test case

The total test case combining the following items in addition to the tests in **Figure-4** reached 207 cases (**Photograph-1-5**).



a) Seismic isolation and non-Seismic isolation

The seismic isolation bearing is locked to represent non-seismic isolation case and both are compared.

b) Damping and non-damping

Non-damping in RB was executed for the comparison of the friction damping force by the constraining force of the track though a main test was a case with LRB with the effect of the damping of the seismic isolation bearing.

c) Method of fixing Track rail

In the experiments, continuity of the rail can not be modeled, therefore, the rail was fixed by five patterns of ① free, ② fixed with springs (three different springs), and ③ fixed to the abutment. The constraining force as the interaction of the track-structure system was not known clearly, so it was a

purpose to find, which boundary conditions in the analysis has to be set. The spring constant used to fix the rail spring was spring(149.0 kg/mm) and spring(273.4 kg/mm) and spring(36.2 kg/mm). The spring(1) was calculated from longitudinal resistance of the ballast in normal situation. Spring(2) and spring(3) considered the decrease rigidity of the ballast at the earthquake, decreased spring constant of spring(1) to the half one-quarter.

d) Loading case

The seismic waves shown in **Figure-4** are used in loading cases and the Sweep Test (sin wave: Constant amplitude with frequency change) was conducted to understand frequency characteristic of the experiment model. **Figure-5** shows linen response spectra level 1 ground motion, level 2 ground motion with spectrum type I , and the level 2 ground motion with spectrum type II. In the direction of the input, the bridge axis longitudinal direction, transverse direction and both-direction input were adopted^{1, 2}.

- ① **Spectrum I**: The acceleration spectrum corresponding to the inter-plate type earthquakes of magnitude 8.0 and epicenter distance of 30 to 40 kilometers. In addition, it includes ground motion due to the inland active faults, which cause an earthquake of magnitude less than 6.5.
- ② **Spectrum II**: The acceleration spectrum based on the statistical analysis of the earthquake date recorded in the past inland earthquakes caused by active faults.

TEST RESULT

The main test results are shown in **Figure-7**, **8** and **9**. **Figure-7**, **8** show the relative response displacement between the seismic isolation bridge girder and the abutment part with the level 2 ground motion (spectrum I :max322.0gal). **Figure-7** shows comparison between cases without track and with rail fixed by spring³. There is a difference of each response displacement because of the presence of the track structure. **Figure-8** shows the cases only with the ballast (without rail) and the with rail fixed by spring³. The difference of each response displacement comes from the effect of the constraining force of the track structure. **Figure-9** shows a case of the level 2 ground motion input with spectrum II (max749.6gal) to the same two models in **Figure-8**. The residual displacement of about 30mm is caused in this case. In the seismic isolation railway structure, it was confirmed that the constraining force of the track influenced the response displacement and the frequency.

MODELLING OF THE CONSTRAINING FORCE OF THE BALLAST TRACK

In this section, dynamic interaction between ballast track and isolated structures is examined based on experimental results and numerical simulations. Since bearing force could not be directly measured, nonlinear force-displacement relation of the base isolator is firstly estimated using experimentally obtained inertia force. Constraining force of the ballast track is then indirectly determined using hysteretic model of the base isolator and measured data. Validity of the estimated value is examined through numerical simulations.

(1) Estimation of the bearing force

Suppose a single degree of freedom system, representing the dynamic behavior of the experimental structure with bearing but without rail structure and ballast. Equation of motion of this is as follows,

$$m\ddot{x} + c\dot{x} + Q(x) = -m\ddot{x}_g \qquad \qquad \text{Eq.(1)}$$

where,

m : mass of the RC slab

С	: damping coefficient
Q(x)	: restoring force of the bearing
x	: relative displacement
<i>x</i>	: relative velocity
ÿ	: relative acceleration
\ddot{x}_{g}	: input acceleration for shake table

Restoring force character of the bearing could be then estimated as,

$$Q(x) = -m(\ddot{x} + \ddot{x}_g) - c\dot{x} = -m\ddot{x}_a - c\dot{x}$$
 Eq.(2)

where, \ddot{x}_{a} is the absolute acceleration of the base-isolated structure.

Figure-10 shows force-displacement relation of the base isolator calculated from equation (2) using measured data of the test structure without track. Here, \ddot{x}_a is the measured absolute acceleration. For relative velocity \dot{x} , integration of \ddot{x} is used. Also, 2% of damping ratio is assumed, including friction of linear guides.

(2) Estimation of the constraining force of the ballast track

Considering the effect of the ballast track, equation of motion of the test structure is rewritten as follows,

$$Q(x) + F = -m(\ddot{x} + \ddot{x}_g) - c\dot{x} = -m\ddot{x}_a - c\dot{x}$$
 Eq.(3)

where,

F : constraining force of the ballast track.

m: total mass of the RC slab including the ballast track stacked on the slab

In this equation, one end of the rail structure is assumed to be fixed to the abutment part, following that constraining force of the ballast track occurs only on the base-isolated RC slab.

Figure-11 shows the hysteretic relations between total force (bearing force, Q, plus constraining force of the ballast track, F) and displacement under L2-II input motion, which are derived from real-scale experimental results with rails.

It is observed from experimental results that the existence of the constraining force of the ballast track alters the natural frequency and response of the base-isolated structure.

(3) Numerical simulations of the real-scale experiments and modeling of the ballast track constraining force

In this section, constraining force of the ballast track is identified so as for the calculated response of the assumed model to meet the experimental results. This model is primarily focused on replicating peak response and natural frequency of the base-isolated structure due to strong nonlinear character and frequency-dependency of the ballast track. Subsequently, numerical simulations are carried out using structural properties and estimated bearing force character in order to trace the experimental results and confirm the validity of the proposed model.

a) Amplitude ratio of Fourier spectra

Based on the amplitude ratio Fourier spectrum of input earthquake motion to that of acceleration response, natural frequency of the base-isolated structure with ballast track is determined, which is 2.7 Hz. This estimated value is also confirmed by the measured response exposed to the sweep wave motion with constant acceleration amplitude (See **Figure-12**).



Figure-10: Hysteresis curve of seismic isolation

Figure-11: Hysteresis curve of seismic isolation



Figure-12: Fourier spectrum amplitude ratio of test result

b) Identification of the constraining force of the ballast track

In this study, nonlinear characteristic of the constraining force of the ballast track is approximated by the Coulomb friction model, and bi-linear hysteretic model is chosen for representing the relationship between friction force and displacement. Several parameters needed for the model are determined based on experimental results. Due to strong nonlinear feature of the ballast track, following approach is primarily focused on only characterizing response at nearby resonance frequency. Natural period of the base-isolated test structure is given by,

$$T_1 = 2\pi \times \sqrt{\frac{w}{k \times 9.8}} \qquad \text{Eq.(4)}$$

where,



Figure-13: Force of constraint model of ballast track

Figure-14: Analytical model of test



Figure-15: Analysis and test results

k : :equivalent stiffness

w : weight of the structure

From equation (4), equivalent stiffness of the bearing is obtained as follows,

$$k = \frac{1}{9.8} \times f^2 \times (2\pi)^2 \times w \qquad \text{Eq.(5)}$$

where, f is the natural frequency obtained previously. Substituting f and w yields,

$$k = \frac{1}{9.8} \times f^2 \times (2\pi)^2 \times w = 2.7^2 \times (2\pi)^2 \times 1.2653/9.8 = 37.16 \quad \text{(tf/m)}$$

Given equivalent stiffness, friction force P_1 is determined as follows,

 $P_1 = k \times u = 37.16$ (tf/m) $\times 0.0377$ (m)= 1.401(tf) (=13.74kN/m)

where, u is the maximum relative displacement of the rail.

Consequently, constraining force characteristics of the ballast track is determined as shown in **Figure-13**.

(4) Numerical Simulations of the real-size experiments

In order to confirm the validity of the estimated constraining force character of the ballast track, nonlinear dynamic analysis is carried out, tracing experimental results. In these series of simulations, frame element is used to represent the behavior of the RC slab, and contributions of bearing force and ballast track are also considered (See **Figure-14**). **Figure-15** shows comparison of relative displacements between simulation and experiment under L2-II input motion. As seen in this figure, despite overall waveforms are not consistent, good agreement is obtained with regard to the maximum displacement, which is of great importance from the viewpoints of seismic design.

One of the main reasons of this inconsistency in overall waveforms is thought to be the effect of vertical motion of the rails. In this experiment, as mentioned previously, one end of the rail structure (abutment

part) is fixed, whereas the other side is not constrained. In this structure, if being exposed to the extreme motion, rail structures are lifted up and down instantaneously due to the liquidity and compaction effects of ballast. It consequently follows that some amount of the inertia force of the slab is transmitted to the vertical direction and horizontal inertia force is reduced, effect of which is not taken into consideration in numerical simulations. However, this effect could be negligible in case of realistic seismic designs due to the large amount of upper structure mass and prominent horizontal movements.

FURTHER RESEARCH ISSUES

Three issues are currently investigated for further development.

(1) Further investigation of dynamic response of railway isolation system

It is necessary to understand the train running stability and the effect of the seismic isolation quantitatively for the reasonable seismic isolation design of railway structures, dynamics of the base-isolated structure consisting of a track the superstructure, and seismic isolation devices including the interaction between various elements (ballast, concrete slab, and directly connected track) and rail and as well as the rail fastening.

(2) Application to existing structures (seismic retrofit)

In addition to newly constructed structures, application of the isolation system to existing structures (retrofit) is an important issue. After Hyogo-ken Nanbu earthquake, the seismic retrofit of the concrete structures has been conducted. The steel jacketing and the carbon fiber wrapping method have been adopted as seismic retrofit to improve the shear strength and the ductility performance of the column. These methods are effective at the member level. On the other hand, the seismic isolation system is the most effective technique to improve earthquake performance of the total structural system (including original structure). And, the application of the seismic isolation bearing is an effective measure against the use of the steel casting bearings which received severe damage during Hyogo-ken Nanbu earthquake. This technique can be applied as a replacement work for the superannuation of bearings. It is necessary to develop the method to secure the joint gap, the seismic isolation devices for existing structures, the design criteria including the influence of surface subsoil and resonance and methods of performance validation. Additionally, the establishment of the construction method is also important. It is necessary to secure high accuracy and safety under a severe condition such as work time construction limited to the night, narrow-spaced and construction work near passing train service.

(3) Development of movement limitation device

For the level 2 earthquakes, safety is secured by UrEDAS (Urgent Earthquake Detection and Alarm System). UrEDAS is a system, which detects the primary wave of the seismic ground motion, estimates the scale of the earthquake and promptly stops high-speed trains. For the level 1 ground motion it is necessary to develop the movement limiting device for the railway isolation system for the transverse direction to assure the safety of running train. The expected performance is to prohibit the displacement at least up to the level 1 ground motion and to allow the movement under the level 2 ground motion for the effect of seismic isolation. The behavior of the device should be able to quantitatively reasonably evaluated, and the use of newly developed materials is under investigation.

CONCLUSIONS

There are significant issues in the seismic isolation system of the railway structures, which are yet to be clarified including the dynamic influence of the track-structure interaction. The Research and development on these problems are ongoing for development of more rationalized seismic design methods. These Research and development are expected to contribute to the improvement of the quality and performance of the railway structures, which support safe, and high-speed mass transportation systems. In this research, experiments and simulations are carried out for introducing the economical and rational base isolation design to the railway structures.

Achievements of this research are listed as follows.

- It is clarified that advantages of the base-isolated railway structures are, (1) reduction of inertia force (2) improvement of the easiness and rapidness of disaster relief works, (3) improvement of seismic resistance performance of total structures including foundations, and (4) horizontal inertia force distribution.
- 2) Several problems unique in railway systems are introduced and examined, which should be overcame to introduce base isolation design to the railway structures.
 - (1) displacement constraint securing running safety in daily use and under frequent earthquake motions (Level-I)
 - (2) evaluation of the complicated dynamics of the rail road and its effect to the railway structure

As for (1), adaptive structure is proposed which is suitable and advantageous for railway system. In the adaptive structure, displacement of the structure is constrained in daily use and under frequent Level-I motion, whereas structural system becomes base-isolated structure when being exposed to the severe earthquake. For (2), it is clarified that effect of the rail structure and ballast track should be taken intro consideration, influencing on displacement and natural frequency of the total structural system.

- **3)** Series of real-scale shaking table tests are carried out in order to comprehend the dynamic interaction effects of the railway, ballast track, and base-isolated structure.
- 4) Through experimental results, it is clarified that the bi-linear friction model could trace the dynamic behavior of the ballast track.
- 5) Based on properties and natural frequency of the test structure, friction force level of the ballast track is estimated. Maximum displacement calculated by the numerical model of the test structure including effects of bearing and track force shows good agreement with that of measured data.

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